

# The 2nd Annual National Institute on Internal Corporate Investigations and Forum for In-House Counsel

May 5 – 7, 2010  
St. Regis Hotel | Washington, DC

## Program Description

Now, more than ever, corporate investigations are a centerpiece of corporate compliance, risk management, government investigations defense and litigation strategy. Evolving government agency standards and guidance concerning voluntary disclosure are only a small part of public and privately-held corporations' needs to assess the conduct of officers, directors, employees and contractors and their impact on corporate liability. Whether the issue involves whistleblowers, audits, financial integrity, immigration, employee activities or international commerce, corporate internal investigations are a critical tool used by in-house and outside counsel for guiding difficult business and legal decisions.

This National Institute is unparalleled in its dissection of the pressing issues that comprise corporate internal investigations. Preeminent in-house and outside counsel, government lawyers, and other experts, including auditors and investigators, will share their valuable insights into the issues to consider in conducting corporate investigations. This National Institute brings together leading practitioners and in-house counsel to address the legal, ethical, marketing, strategic, and managerial considerations to guide your advice and decision-making.

The Internal Corporate Investigations National Institute Steering Committee, the Criminal Justice Section White Collar Crime Committee and the Business Law Section have collaborated to create an insightful and valuable program for in-house and outside counsel, as well as other professionals involved in corporate investigations. Two pre-conference workshops – a corporate investigations primer and a case studies program – will offer additional intensive instruction.

## Entire Program Tuition:

\$1025	General Public
\$900	ABA Member (\$125 Savings)
\$850	Business Law Section, Criminal Justice Section and Section of International Law Members (\$175 Savings)
\$395	Government Employees

To register, or for additional information, call  
800.285.2221 or visit our Web site at  
[www.abacle.org/programs/n10ici1.html](http://www.abacle.org/programs/n10ici1.html)

## Unable to Participate?

Audio CDs will be available after the program at [www.abaclecatalog.org](http://www.abaclecatalog.org).

## Program Agenda

### Day 1 - Wednesday, May 5, 2010

11:00AM	<b>Registration</b>
1:45	Opening Remarks
2:00	Nuts and Bolts: How to Conduct an Effective and Ethical Internal Investigation ( <b>Ethics credit</b> )
3:30	<b>Break</b>
3:45	Case Studies: the Good, the Bad, and the Ugly
5:15PM	<b>Adjourn</b>

### Day 2 - Thursday, May 6, 2010

8:15AM	<b>Registration</b>
8:45	Co-Chairs' Opening Remarks
9:00	Regulators' Roundtable
10:30	<b>Break</b>
10:45	Defense Counsel's Perspective
12:15PM	<b>Networking Luncheon</b>
1:30	International Investigations
3:00	<b>Break</b>
3:30	Ethical Issues in Internal Investigations ( <b>Ethics credit</b> )
5:00PM	<b>Adjourn/Reception</b>

### Day 3 - Friday, May 7, 2010

8:15AM	<b>Registration</b>
8:45	Co-Chairs' Opening Remarks
9:00	State Regulators' Roundtable
10:00	<b>Break</b>
10:15	Protecting the Attorney-Client Privilege ( <b>Ethics credit</b> )
11:15	D&O Insurance Coverage
12:30PM	<b>Luncheon with Keynote Speaker</b>
2:00	Constructing & Managing an Effective Compliance Program (Breakout Session A) Representing the Individual in an Internal Investigation (Breakout Session B)
3:00	<b>Break</b>
3:15	Deferred Prosecution Agreements & Monitors
4:15PM	<b>Adjourn</b>



**Registration Information for  
Internal Corporate  
Investigations and Forum for  
In-House Counsel  
National Institute**

To register, or for additional  
information, call 800.285.2221 or  
visit the ABA-CLE Web site at  
[www.abanet.org/cle/programs/n10ici1.html](http://www.abanet.org/cle/programs/n10ici1.html)

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**SAVE THE DATE  
UPCOMING EVENTS**

The 24<sup>th</sup> Annual National Institute  
on

**Health Care Fraud**

May 12-14, 2010

Eden Roc Renaissance Miami  
Miami Beach, FL

[www.abacle.org/programs/n10hcf1.html](http://www.abacle.org/programs/n10hcf1.html)

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The Eighth Annual National Institute  
on

**Civil False Claims and  
Qui Tam Enforcement**

June 2-4, 2010

Marriott at Metro Center  
Washington, DC

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**CONTINUING LEGAL EDUCATION**

Under the guidance of the Standing  
Committee on Continuing Legal Education,  
the Center for Continuing Legal Education  
administers and produces National  
Institutes, teleconferences, other  
technology-based offerings and written  
course materials to assist lawyers with  
their continuing legal education efforts.

**David W. Hilgers**, Chair  
*Brown McCarroll, L.L.P.*  
Austin, TX

**ABA CENTER FOR  
CONTINUING LEGAL EDUCATION**

**Rick Vittenson**, Director  
**Earnestine Murphy**,  
Deputy Director of Programming  
**Esther Bowers**,  
Educational Programs Manager

**Program Information**

**GROUP AND OTHER DISCOUNTS**

Register three or more people at the same time to receive an additional \$50 reduction on each program tuition. Larger group discounts and special rates for full-time law students, academics, and government employees are also available. For more information, contact Renee Williams 312.988.6216.

**MCLE**

Mandatory continuing legal education (MCLE) accreditation has been requested from all states which require continuing legal education. 14.25 hours of CLE credit including 4.0 hours of Ethics credit have been requested from those states recognizing a 60-minute credit hour and 17.1 hours of CLE credit including 4.8 hours of Ethics credit have been requested from those states recognizing a 50-minute credit hour. For NY-licensed attorneys: This transitional CLE program has been approved for all NY-licensed attorneys in accordance with the requirements of the New York State CLE Board of New York MCLE (14.5 total NY transitional MCLE credits including 4.5 hours of Ethics credit).

**SCHOLARSHIPS**

Scholarships to defray tuition expense for National Institutes are available upon application. Preference will be given to public interest lawyers, government lawyers, law professors, and solo or small firm practitioners. For scholarship applications, go to [www.abanet.org/cle/scholarship](http://www.abanet.org/cle/scholarship).

**SERVICES FOR PERSONS WITH DISABILITIES**

If special arrangements are required, please contact Esther Bowers at 312.988.5646. Reasonable advance notice is requested.

**IN-PERSON REGISTRATION**

If you plan to register in-person, please call the ABA at least 24 hours in advance to confirm that the program is being held as scheduled and that space is available. Cash payments will not be accepted.

**CANCELLATIONS**

Cancellations received 5 business days or more before the program will receive a 100% refund, less a \$50 cancellation fee. No refunds will be provided for cancellations after that date; however, substitute registrants are welcome at any time. To cancel your registration or substitute another individual, please call the ABA Service Center at 800.285.2221 or fax your request to 312.988.5850. Registrants who do not cancel within the allotted time period and who do not attend the program will receive a copy of the program course materials after the program.

**PROGRAM CONFIRMATION**

Written confirmation of your registration will be sent to you upon receipt. Please bring it with you to the National Institute as proof of registration. If you do not receive the confirmation notice prior to the presentation, please call the ABA at least 24 hours in advance to confirm that your registration was received and the program is being held as scheduled.

**HOTEL INFORMATION**

**St. Regis Hotel**

923 16<sup>th</sup> Street and K Streets, N.W.  
Washington, DC 20006  
P: 202.638.2626  
F: 202.638.4231  
Single/Double: \$299

All room rates are subject to city occupancy and sales tax. One night's deposit is required for reservation. Unclaimed rooms will be released 21 days before the program, after which the hotel will accept reservations on a space-available basis only. **Mention this ABA meeting to receive the special discount.**

**AIRLINE INFORMATION**

Discounted fares are available from ABA Orbitz for Business including ABA negotiated discounts on American and United. To book online, go to [www.abanet.org/travel](http://www.abanet.org/travel) click under the Orbitz for Business logo at the top of the page click on the appropriate link in the Self Paid Travel box. For assistance with online or offline reservations, call toll free 1.877.222.4185.

Discounts can also be obtained directly from the carrier. American: Call 800.433.1790 code A1210SS; United: Call 800.521.4041 code 578IG.